

1 **Lichen Sclerosus: An autoimmunopathogenic and genomic enigma with emerging genetic**
2 **and immune targets**

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38 **Abstract**

39 Lichen sclerosus (LS) is an inflammatory dermatosis with a predilection for anogenital
40 skin. Developing lesions lead to vulvar pain and sexual dysfunction, with a significant loss of
41 structural anatomical architecture, sclerosis, and increased risk of malignancy. Onset may occur
42 at any age in both sexes, but typically affects more females than males, presenting in a bimodal
43 fashion among pre-pubertal children and middle-aged adults. A definitive cure remains elusive
44 as the exact pathogenesis of LS remains unknown. A general review of LS, histologic
45 challenges, along with amounting support for LS as an autoimmune disease with preference for a
46 T_h1 immune response against a genetic background is summarized. In addition to the classically
47 referenced ECM1 (extracellular matrix protein 1), a following discussion of other immune and
48 genetic targets more recently implicated as causative or accelerant agents of disease, particularly
49 miR-155, downstream targets of ECM1, galectin-7, p53, and epigenetic modifications to
50 CDKN2A, are addressed from the viewpoint of their involvement in three different, but
51 interconnected aspects of LS pathology. Collectively, these emerging targets serve not only as
52 inherently potential therapeutic targets for treatment, but may also provide further insight into
53 this debilitating and cryptic disease.

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69 **Introduction**

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71 Lichen sclerosus (LS) is a chronic relapsing inflammatory dermatosis of cryptic etiology
72 that mainly affects pre-pubertal children and middle-aged adults. While LS lesions may develop
73 over any cutaneous tissue at any age in both sexes, there is a predilection for anogenital skin in
74 85%-98% of cases, with a higher incidence of onset among pre-menarche and post-menopausal
75 females [1, 2]. LS patients suffer from patchy lesions that coalesce into sclerotic plaques,
76 culminating in urinary and sexual dysfunction with a 4% elevated risk of squamous carcinoma
77 [3]. Although LS is seen as a rare condition [4, 5], LS is among one of the most common
78 referrals for vulvar pruritus and is the most common form of structural change to the vulvar
79 region [6-8]. Yet, to date, much of LS is uncertain, as discourse concerning its pathogenesis,
80 histological diagnostic criteria, and treatment remains contested even after more than a century
81 since its first clinical description presented in 1887 [9-12]. However, there are emerging
82 molecular targets that may provide better insight into the progression of LS and the onset of
83 morphological features that lead to the diseased state. Nevertheless, since the pathology of LS
84 involves intimate anatomical areas, patients are less likely to seek treatment; thus, further
85 compounding the dynamics of this underrecognized and undertreated dermatosis.

86

87 **Epidemiology**

88 LS exhibits a bimodal distribution in incidence, with the first peak occurring before
89 puberty in pre-adolescent teens and the second peak arising in middle to late adulthood,
90 specifically after menopause for women and between 30-50 years of age in men [11, 13, 14]. In
91 the literature, however, there is a lack of large-scale epidemiological studies that consider both
92 the sex and age of onset. Therefore, the true incidence of LS is unknown. The estimated
93 prevalence is most likely underestimated, as studies are limited to the confines of case-control
94 studies, which mainly focuses on one demographic (i.e. men, women, boys, or girls)—few
95 studies include a sample of all four age and sex groups.

96 Nevertheless, the classically cited prevalence approximates 0.1%-0.3% in a general
97 hospital setting [14, 15], and LS is therefore recognized as a rare condition by the Genetic and
98 Rare Diseases Information Center (GARD) of the National Institutes of Health (NIH) and the
99 National Organization for Rare Disorders (NORD) [4, 5]. Most recently, a report on the

100 prevalence of LS in Botswana amassed to 0.2%, falling within this range [16]. In contrast,
101 however, the European Dermatology Form classified LS as a common disease [17] and the
102 prevalence of LS was observed to be 1.67% in a general gynecology clinic in the United States
103 [18]. Extrapolated data from the Oxford clinic approximates 150 to 200 women per million
104 population seek medical treatment for LS each year [19] and a more recent report suggests the
105 incidence of LS is rising, from 7.4 to 14.6 women per 100,000 between 1991 and 2011 in the
106 Netherlands [20]. Taken together from the literature, LS is reported to be more prevalent in
107 women (3%) [21] than men (>0.07%) [22], and commonly affects more adults (1.5%) than
108 children (0.3%) [23, 24] (*Table 1*).

109 The sex ratio among women and men vary widely in the literature, from 10:1 [14], 6:1
110 [25], to 3:1 [2]. In children, the sex ratio is reversed [26], as there is a prevalence of 0.5% in boys
111 [13, 27] and 0.11% in girls [13, 28]. This reversal in childhood prevalence may be due to a
112 detection bias, as boys are often admitted to a clinical setting for LS-associated phimosis,
113 whereas girls may be asymptomatic in early LS and develop characteristic lesions later in
114 adulthood [13]. Additionally, the bimodal prevalence observed in females may also be skewed.
115 Given that changing levels of estrogen is associated with changes in skin hydration, collagen
116 content, and glycosaminoglycan concentrations [7], estrogen deficiency may therefore directly
117 weaken the structural integrity of vulvar skin. Such changes may lead to worsening symptoms,
118 causing pre-pubertal girls and menopausal women to more likely seek treatment than otherwise
119 well estrogenized female age groups. As such, the incidence is an underestimate given various
120 additional compounding factors, such as under-reporting due to physician under-recognition and
121 misdiagnosis, patient trepidation in seeking treatment (as LS may be easily mistaken for sexual
122 abuse in premenarchal girls, and therefore may heighten hesitancy), LS management under
123 different specialties, and asymptomatic cases that go unnoticed [18].

124

125 **Histological Challenges for Diagnosis**

126 Typical LS lesions are characterized by a zone of lymphatic infiltrate observed
127 underneath a condensed region of sclerosis in the upper dermal region (comprised of hyalinized
128 collagen and homogenized collagen fibrils), with flattening of Rete's pegs and hyperkeratosis [8,
129 29, 30]. As with much of LS, there is a lack of unanimity regarding the reliability of histological
130 biopsy, especially in the early stages of disease. In contrast to many studies, Regauer and Liegl

131 [31] did not find the characteristic zone of dermal sclerosis as an essential, early diagnostic
132 feature [8].

133 A review of the literature shows inconsistencies with the description of this sclerotic zone,
134 as some authors have used “hyalinized collagen,” “homogenous collagen,” and “sclerotic band”
135 interchangeably [29, 31-33]; whereas others seemingly viewed these descriptions as distinct
136 histopathological features [30, 34, 35]. The usage of “hyalinized collagen” may indeed signify a
137 zone of thickened, homogenous collagen fibrils in the presence of amorphous eosinophilic
138 material as defined by Saluja and Iyer [36]. However, phrasing in the first manner, in which
139 “homogenous collagen” is used with the omission of “hyalinized collagen,” regrettably draws
140 into question whether or not the amorphous eosinophilic material is present. Furthermore,
141 diagnostic criteria failed to distinguish early LS from eczema [8] and due to overlapping features
142 to other forms of sclerosis, early vulvar LS is often misdiagnosed as “non-specific vulvitis” [31].
143 Finally, the various subtypes [37, 38], additional hodgepodge of other dermal changes (e.g.
144 edema, abnormal extracellular structures, basement membrane alterations), and morphological
145 changes due to self-treatment medication, considerably widens the spectrum of disease and blurs
146 the histological picture of LS [8].

147 In spite of such challenges in establishing a full diagnostic picture, some recommend a
148 biopsy to confirm diagnosis as well as to exclude potential vulvar intraepithelial neoplasia or
149 malignancy in suspicious lesions or areas [17, 39] . Multiple sections [31] with repeated biopsies
150 in subsequent follow-ups, are encouraged, particularly in evolving lesions resistant to treatment
151 [10]. Kato *et al.* [40], however, placed high reliability on histological diagnosis, as clinical
152 suspicion of LS in males alone had a high rate of inaccuracy (59%). The difference in the cohort
153 demographic studied with its focus on LS in males, in part, may account for such discrepancies
154 compared to the majority of studies on LS in females. Taken together, such complexity
155 reinforces the importance of making a diagnosis in alignment with a true, clinicopathologic
156 correlation as opposed to any one clinical finding or isolated biopsy.

157

158 **Genetic Evidence for Heredity**

159 *Familial Occurrence*

160 Familial studies show a positive family history of LS [6, 41-43]. In particular, Sherman *et*
161 *al.* [43] found 12% of female LS patients had a first-degree female relative also diagnosed with

162 LS. Case reports of LS in monozygotic and dizygotic twins, siblings, and mothers-daughters
163 further support a genetic component. However, the mode of inheritance has not yet been
164 established [44-46].

165

166 *Immunogenetics (HLA Class II Antigens)*

167 LS genetic susceptibility is also strengthened by a significant positive association with
168 genes regulating HLA class II antigens, which are critical regulators of humoral immunity. Much
169 study has focused on the prevalence of HLA-DQ7 in LS patients, which appears in 50% of adult
170 females, 45% adult males, and 66% prepubertal females, suggesting HLA-DQ7 may play a role
171 in LS susceptibility [47-49]. In addition, HLA-DR12 and haplotype
172 DRB1*12/DQB1*0301/04/09/010 are shown to appear more frequently in LS patients than
173 controls [48, 50]. In contrast, DR17 has a negative association and therefore, may prove to
174 confer protection against LS [50]. Interestingly, when considering autoimmune diseases with LS,
175 it appears DRB1*13 is more prominent in those with LS alone than those with a comorbidity of
176 both LS and autoimmune disease [50]. Such data suggests that while DRB1*13 may not be
177 protective of LS, it may be protective against the comorbidity of LS and onset of autoimmune
178 disease.

179

180 **Autoimmune Association**

181 *Autoimmune Disease Comorbidity*

182 Although not universally accepted [42], the association between LS and autoimmune
183 disease has been well-established in women, but less so in men. A large, retrospective review of
184 532 LS patients comprised of both men and women by Kreuter *et al.* [2] revealed that while 82%
185 of the total cohort had at least one type of autoimmune disease, more women significantly
186 ($p < 0.0001$) showed comorbidity for an autoimmune disease compared to men (18.9% vs. 5.1%).
187 Such findings further confirmed prior case-control reports by Harrington and Dunsmore [51] and
188 Cooper *et al.* [52], citing 34% and 28.4% of LS women having comorbidity with at least one
189 other autoimmune disease compared to healthy controls, respectively. These figures are
190 comparable to case series studies exclusive to women, citing 21.5%-25% of LS female patients
191 with at least one other autoimmune disease [48, 53]. In stark contrast, only 3%-7% LS male
192 patients presented comorbidity with an autoimmune disease, with figures comparable to the

193 prevalence of autoimmune diseases in populations without LS [47, 54, 55]. This suggests there is
194 a strong, positive association between LS and autoimmune disease in women and in contrast, a
195 weak association in men.

196

197 *Thyroid Disease*

198 Various autoimmune diseases, both cutaneous (e.g. vitiligo, alopecia areta, localized
199 scleroderma, systemic sclerosis, psoriasis) and interestingly, extracutaneous autoimmune diseases
200 such as autoimmune thyroid diseases (e.g. Hashimoto thyroiditis, Graves' disease), inflammatory
201 bowel disease (e.g. Crohn's disease, ulcerative colitis), rheumatoid arthritis, and pernicious
202 anemia can be found positive in LS patients [2, 17]. Among these, thyroid disease appears to be
203 the most common, comprising 12.2%-16.3% of the overall cohort studied as reported by Kreuter
204 *et al.* [2] and Cooper *et al.* [52], respectively. Other cases of autoimmune disease amassed only
205 to 3.3% [2]. Furthermore, not only is thyroid disease more common in patients with LS, it is also
206 more prevalent among LS women. Kreuter *et al.* [2] reported 60/65 cases of autoimmune thyroid
207 diseases were found in female LS patients compared to male LS patients (15.2% vs. 3.8%). A
208 recent cross-sectional study by Kantere *et al.* [55] noted of 100 male LS patients studied, none
209 reported thyroid disease and only 5 presented cases with mild abnormal thyroid function.
210 Therefore, while comorbidity of LS with an autoimmune disease appears infrequent in males,
211 females diagnosed with LS should be screened for other autoimmune diseases, particularly for
212 thyroid disease.

213

214 *Autoantibodies to Associated Autoimmune Diseases*

215 In alignment with the clinical profile of autoimmune diseases, autoantibodies were found
216 to be in more female LS patients than male. Goolamali *et al.* [56], credited as the first to suggest
217 LS "may be related to or caused by autoimmune processes," suggested 40% (n=25) female LS
218 patients had autoantibodies against thyroid cytoplasm compared to 12% (n=443) of healthy
219 female controls [57]. Recent reports by Kreuter *et al.* [2] confirmed this trend with more anti-
220 thyroid antibodies (11.1% vs. 4.4%) and antinuclear antibodies (9.6% vs. 0.7%) found in LS
221 females when compared to their male counterparts. Kantere *et al.* [55] further determined that LS
222 males positive for antinuclear antibodies (6%) was lower than that of the general population,

223 suggesting autoimmunity may not play a significant role in LS etiology in males as it does in
224 females.

225 Interestingly, Goolamali *et al.* [56] noted the presence of these organ-specific
226 autoantibodies did not correlate with either the severity or duration of disease, and therefore
227 concluded these were not a consequence of LS. In fact, Cooper *et al.* [52] noted little difference
228 in anti-thyroid autoantibodies between LS and controls in spite of a higher prevalence thyroid
229 disease in the LS group, citing treatment of hypothyroidism with thyroxine as a potential
230 explanation for the unexpected decrease in anti-thyroid autoantibodies.

231

232 **Autoimmune & Genetic Targets of Lichen Sclerosus**

233 Despite the strong association with autoimmune disease, the etiology of LS remains a
234 large mystery. However, potential therapeutic targets in recent years have been implicated in LS
235 pathology and these emerging targets are discussed below and may be further categorized based
236 on their functional roles in LS pathogenesis and progression via their involvement in (1)
237 activating autoimmunogenic mechanisms, (2) inducing sclerotic tissue formation, or (3)
238 triggering oxidative stress (*Figure 1 and Table 2*).

239

240 ***Targets involved in an autoimmunogenic mechanism***

241 *ECM1 as an autoantigen for humoral autoimmunity*

242 Given the familial occurrence of LS and the close, positive association between with
243 HLA class II antigens and autoimmune disease, many researchers speculated LS pathogenesis
244 may progress along an immunogenetic route towards humoral autoimmunity as the development
245 of autoantibodies to an unknown autoantigen may account for the histological changes seen
246 extensive extracellular remodeling. Dysfunction of extracellular matrix protein 1 (ECM1), found
247 at the dermal-epidermis junction, has long been implicated in the pathogenesis of LS. Support
248 comes from loss-of-function mutation studies in *ECM1* gene in lipoid proteinosis (LiP), an
249 autosomal recessive genodermatosis that features comparable clinical symptoms to that of LS
250 and as such, implicates ECM1 as a strong putative autoantigen in LS autoimmunity [58].

251 ECM1 is an 85 kDa soluble glycoprotein [59] with promiscuous extracellular binding
252 targets that includes basement proteins (laminin 332, laminin 10, collagen IV, fibulin
253 polysaccharides (HA, heparin, CSA), proteoglycans such as perlecan; phospholipids

254 (phospholipid scramblase 1), and proteolytic enzymes (MM9) [60, 61]. ECM1, therefore,
255 appears to act as the “biological glue” that is responsible for the structural organization and
256 integrity in human skin [32] (*Figure 2*). Thus, any disruption to this ECM1 scaffold would result
257 in pathology.

258 In spite of such supporting evidence for ECM1 as a potential autoantigen, Edmonds *et al.*
259 [62] concluded ECM1 autoimmunity may only represent an epiphenomenon in response to the
260 progression of disease as opposed to being a causative factor, citing the lack of predilection for
261 genital skin in LiP when compared to LS. Further analysis showed ECM1 was significantly
262 downregulated only in pediatric male LS lesions when compared to male adult and healthy
263 controls, in spite of concordance of expression profiles between male age samples indicating
264 pediatric LS and adult-onset LS consist of the same entity [63]. In addition, failure to establish
265 complete LS mice models have hindered *in vivo* studies [64]. Thus, given such concerns,
266 autoimmunity to ECM1 itself alone does not explain the full pathogenesis of LS.

267

268 *Activation and maintenance of a T_h1 response in LS progression*

269 Gene expression profiles of LS have further suggested LS as an immunogenic disease,
270 given increased expression in genes responsible for immune response [63, 65]. In particular,
271 more pro-inflammatory cytokines were upregulated in LS (IL-1, IL-7, IL-15, IFN- γ , TNF- α) than
272 anti-inflammatory cytokines (TNF- β), suggesting LS is specifically mediated by a T-helper type
273 1 (T_h1) course of action [65, 66]. This observation further confirmed past findings of upregulated
274 IFN- γ within the inflammatory infiltrate of LS, comprised largely of CD4⁺, CD8⁺, and FOXP3⁺
275 regulatory T (Treg) cells with notable expression of CXCR3 and CCR5, chemokine receptors
276 known to characterize a T_h1 response [65, 67]. While T_h1 processes are known to direct
277 immunity against intracellular pathogens, a T_h1 response has also been associated with
278 autoimmune disease [66].

279

280 *miR-155 induces loss of immune tolerance as trigger for autoimmunity*

281 MicroRNAs are small, endogenous RNA molecules that act as regulators of gene
282 expression by binding to the 3'-untranslated region (3' UTR) of target mRNA transcript [68, 69].
283 An aberrant level of microRNA expression is therefore implicated in LS pathogenesis. miR-155
284 has been shown to be expressed in activated immune cells (i.e. macrophages, dendritic cells, B

285 cells, and T cells) and plays a significant regulatory role in the production of cytokine,
286 chemokine, and transcription factors towards promoting T_H1 T-cell differentiation [65]. In the
287 context of autoimmunity, overexpressed miR-155 levels are hypothesized to disrupt the
288 suppressive function of T regulatory (Treg) cells, which are responsible for maintaining self-
289 tolerance by downregulating the proliferation (via IL-10 and TGF- β cytokine production) [70] of
290 autoreactive CD4⁺ effector T cells that would otherwise have triggered an immune response
291 against self-antigens [65, 70, 71]. Reduction or inactivation of this critical Treg-cell-mediated
292 suppression, either by downregulated Treg cells or altered functional phenotype, would therefore
293 induce autoimmunity (*Figure 3*).

294 Specifically, miR-155 from LS lesions showed a 9.5-fold increase in expression
295 compared to controls [65]. CD4⁺, CD8⁺, and FOXP3⁺ Treg cells were also present in significant
296 counts, while IL-10 showed decreased expression with equal TGF- β levels compared to healthy
297 controls [65, 72] (*Table 2*). Such data suggests high miR-155 expression is able to suppress Treg
298 cell activity without reducing Treg cell numbers. Given that Treg cell exert their suppressive
299 activity via IL-10 and TGF- β production [70], the low IL-10 found in LS may indicate reduced
300 Treg cell activity in their suppressive role in protecting the body from autoimmunity.
301 Insignificant differences in TGF- β , however, may suggest other inflammatory mediators are
302 involved, such as TNF and IL-6, which are shown to be increased in LS [67, 72-74]. Taken
303 together, Treg cell activity is mediated not by reduced cell counts in LS, but possibly by an
304 altered Treg phenotype due to increased miR-155 expression as is the case in lupus
305 erythematosus [65, 75]. Thus, miR-155 may serve as a critical regulator triggering the loss of
306 normal Treg suppressive function and loss of CD4⁺ self-tolerance in this proposed
307 autoimmunogenic mechanism for LS pathogenesis.

308

309 ***Targets affecting sclerotic tissue formation via fibroblast proliferation and collagen*** 310 ***homeostasis***

311

312 ***Overexpressed miR-155 promotes fibroblast proliferation via downregulation of FOXO3 and*** 313 ***CDKN1B***

314 In addition to its possible role for inducing autoimmunity, miR-155 is also associated
315 with sclerotic tissue formation. Specifically, Ren *et al.* [76] found increased expression levels of

316 miR-155 in LS tissues along with an associated decrease in *FOXO3* and *CDKN1B*, two tumor
317 suppressor genes involved in fibroblast cell proliferation and cell cycle suppression, respectively
318 (Table 2). Reduction in *FOXO3* and *CDKN1B* expression may promote fibroblast proliferation
319 and persistence, thereby explaining the high level of collagen synthesis found in the zone of
320 hyalinized and sclerotic dermal tissue in LS [76] (Figure 3).

321

322 *Autoantibodies to ECM1, Interaction with MMP9, and Histological Changes*

323 Of special interest to the histological changes in LS is the ECM1-mediated reduction in
324 matrix metalloproteinase 9 (MMP9) proteolytic activity. Autoantibodies against ECM1 have been
325 found in both LS females (74% vs. 7% controls) [30] and males [62]. Specifically, the majority
326 of these autoantibodies found in LS females by Oyama *et al.* [30] targeted the c-terminal second-
327 tandem repeat (exon 7) of recombinant ECM1, the same region to which MMP9 also binds as
328 demonstrated by Fujimoto *et al.* [60]. Loss of this region, either by mutation to the *ECM1* gene
329 or autoantibodies to this particular ECM1 region, would in effect disrupt the usual regulatory
330 binding of ECM1 to MMP9, resulting in overreactive MMP9 collagenase activity disrupting
331 collagen homeostasis, which, in turn, may explain the focal basement membrane disruption
332 observed in LS [60] (Figure 2). However, due to the existence of heterogeneous ECM1
333 antibodies targeting different ECM1 epitopes, Sercu *et al.* [61] and Oyama *et al.* [30] further
334 speculated that anti-ECM1 antibodies in LS predominately affects the functional binding of
335 ECM1 to collagen IV and perlecan more so than MMP9.

336 In other regions of the basement membrane, thickening may occur instead of
337 degradation. Oikarinen *et al.* [77] demonstrated an increase in collagen synthesis by fibroblasts
338 in LS lesions, albeit through a small sample size (n=4), when compared to non-affected samples
339 and healthy controls. Such seemingly paradoxical phenomenon may also be due to MMP9's
340 overactivity from its dysregulated binding to ECM1, as MMP9, in addition to its collagenase
341 function, has also been shown to cleave latent transforming growth factor beta (TGF- β), a
342 possible mechanism for TGF- β activation and therefore, enhances collagen synthesis and
343 regeneration as seen in LiP [60]. High MMP activity, however, was linked with decreased
344 dermal fibroblast activity and reduced collagen synthesis in photodamaged skin [78] and
345 differences in lesional TGF- β levels were insignificant compared to healthy controls [72].

346

347 *Galactin-7 inhibits fibroblast growth and increases collagen synthesis.*

348 The cellular activity of fibroblasts in LS was further investigated with the regulatory role
349 of galectin-7, a keratinocyte protein under p53 regulation [79]. Zhao *et al.* [80] noted high
350 galectin-7 expression levels in LS tissue that may exert a dual effect on dermal fibroblasts by (1)
351 inhibiting growth and (2) promoting the transcription of type I and type III collagen, both
352 primary collagen types seen to be overly expressed in LS (*Figure 3*). Even though galectin-7
353 expression was localized only to the epidermis of LS tissue, it is possible that galectin-7 may
354 find its way via paracrine signaling from keratinized epithelial cells that originally synthesized
355 galectin-7 in the epidermis, to a surface receptor of dermal fibroblast in the dermis in order to
356 downregulate fibroblast growth and increase collagen I & III transcription [80]. In light of LS as
357 a possible immunogenic disease, while prior reports of galectin-7 in cardiac allografts of mice
358 suggested a promising role as a positive mediator of T cell response by increasing the ratio of
359 T_h1 cells and lowering IL-10, the role of galectin-7 in LS needs further investigation in LS [81].

360

361 *Increased collagen type V and decreased endothelial ECM1 implicated in sclerotic tissue*
362 *formation*

363 In addition to hyalinized and homogenized, sclerotic collagen fibrils, Godoy *et al.* [82]
364 observed reduced elastic fibers in the upper dermis of LS lesions, which was found to be
365 associated with aberrantly increased collagen V deposition (in addition to type I & III collagen)
366 and low ECM1 blood vessel expression within the hyalinized LS zone (*Table 2*). Given that type
367 V collagen is known to induce scleroderma in rabbits [83] and lung transplant rejection [84] it is
368 possible that overexpression of collagen V may explain the observed collagen remodeling
369 observed in LS [82].

370

371 *Targets and markers involved in oxidative stress within LS lesions serve as a gateway for*
372 *progression to squamous cell carcinoma*

373 *Overexpression of wild-type p53 as indicator of oxidative stress*

374 In addition to its regulatory role on downstream tumor suppressors such as galectin-7,
375 p53 has also been further implicated in LS biopathology; however, interestingly, as a triggered
376 response to oxidative stress [85] (*Figure 1 & 3*). Reactive oxygen species (ROS) are suspected to
377 interact with apoptotic macromolecules as a consequence of DNA damage, thereby creating new

378 epitopes for autoimmunity activation, such as those found in ECM1 autoantibodies [86, 87]. The
379 presence of marked inflammation may also further accelerate LS disease progression via
380 production of more ROS [87]. Staining for oxidative DNA damage marker 8-hydroxy-2'-
381 deoxyguanosine (8-OHG) was found in all skin layers, while protein oxidation staining showed
382 significant activity in dermal sclerotic lesions, further supporting the role of ROS-induced DNA
383 damage that trigger downstream dermal sclerosis [87]. Such findings collectively suggest an
384 intricately complex and interwoven dynamic between autoimmunity and oxidative stress in LS
385 (*Figure 3*).

386 High p53 immunoreactivity in immunostaining, interpreted as p53 overexpression, was
387 found in basal keratinocytes in LS [88, 89], LS' various subtypes (e.g. early and classical;
388 pediatric and adult LS) [37] as well as in lesions of both sexes [37, 72, 88]. In addition to
389 inflammation and its release of ROS, vascular changes due to dermal sclerosis and hyalinization
390 lead to the development of sclerotic vessels, which restrict oxygen flow and induce ischemic
391 stress [37, 87]. Such stress, in turn, is seen to enhance p53 protein stability and accumulation,
392 possibly as a compensatory mechanism to counteract the damaging effects of oxidative stress
393 (*Figure 3*). Further, the wild-type p53 protein is shown to be present in LS lesions as opposed to
394 its mutant form [72, 88, 90], with only one study showing p53 mutations in as high as 70% of
395 patients [85, 91]. Furthermore, Vanin *et al.* [89] demonstrated no association between loss of
396 heterozygosity (LOH) and p53 mutations in the presence of high p53 expression in LS. In fact,
397 an analysis of several studies pinpoints the mutation frequency of *TP53* in LS to be 4% [85].
398 Given these collective findings, the accumulation and high expression of wild-type p53 in LS
399 lesions strongly suggest the presence of oxidative stress in LS.

400

401 *CDKN2A epigenetic modifications in LS progression towards squamous cell carcinoma (SCC)*

402 Similar to p53, there were no mutations found in lesional CDKN2A, which normally
403 codes for p16^{INK4a} and p14^{ARF}, two tumor suppressors that regulate the cell cycle [88]. In general,
404 both protein products inhibit aberrant cell growth through different mechanisms: p16^{INK4a}
405 activates the Rb protein family to block G1 to S-phase progression, whereas p14^{ARF} rescues p53
406 from MDM2 ubiquitin protein degradation [88, 92, 93] (*Figure 3*).

407 With the absence of p53 and CDKN2A mutations in LS, investigators considered the role
408 of epigenetic modifications in LS, particularly for its potential to induce malignant

409 transformation. Aberrant 5-hydroxymethylation and altered isocitrate dehydrogenase (IDH)
410 enzymes [72] were associated with LS and up to 42.8% of lesions showed hypermethylation in
411 p16^{INK4a} gene promoters [88, 94], thus providing a basis for LS against an epigenetic
412 background. More interestingly, while there were no p53 mutations found in LS alone, p53
413 mutations occurred much more frequently in LS associated with SCC. While much is unknown
414 regarding the larger epigenetic changes in LS, a synergetic effect may occur between
415 p16^{INK4a}/p14^{ARF} hypermethylation as an early event in tandem with p53 somatic mutations as a
416 late event to promote cell immortality and tumor development in the malignant transformation of
417 LS to SCC [88] (*Figure 3*).

418

419 **Conclusion and Future Directions**

420 Lichen Sclerosus (LS) is a relapsing inflammatory dermatosis with an unknown
421 pathogenesis marked by intolerable pruritus, scarring, and drastic changes to genital anatomy in
422 both sexes, often culminating in sexual and urinary dysfunction with an increased risk for SCC.
423 Due to histological challenges, its diagnosis is often difficult. Patients are often plagued with
424 reticence and embarrassment, and therefore, fail to seek treatment. The plague of detection
425 biases, in addition to the lack of large-scale epidemiological studies, may render current rates of
426 incidence as mere underestimates.

427 Although the etiology of LS remains elusive, there are several lines of evidence that
428 suggest LS is an autoimmunogenic disease against a genetic background. LS is not only found
429 more commonly in females than males, but there is also a strong positive association between LS
430 and HLA class II antigens and comorbidity with autoimmune diseases, especially thyroid
431 disease. The presence of autoantigens against ECM1, an essential scaffolding glycoprotein
432 critical to dermal equilibrium, in both females and males, further provides a potential basis for
433 autoimmunity, but remains insufficient in explaining the full pathology of LS alone. LS is also
434 an inflammatory disorder, as genome-wide expression profiles showed differential increase in
435 genes important to immune response, particularly towards T_h1 differentiation. Therefore, the
436 activation and maintenance of T_h1 cytokine microenvironment plays a key role in disease
437 progression.

438 In addition to ECM1, aberrant expression of various immune and genetic targets has been
439 implicated in the pathogenesis of LS that are either involved in inducing an immunogenic

440 mechanism and/or modulating fibroblast activity and collagen homeostasis (*Table 2 and Figure*
441 *2*). In particular, the upregulation of miR-155, TNF, IL-6, galectin-7, collagen (type I, III, V),
442 p53, and ECM1 autoantibodies showed enhanced expression in LS lesions compared to controls,
443 whereas *FOXO3*, *CDKN1B*, IL-10, and endothelial ECM1 were downregulated. Reduced Treg
444 cell suppression activity is considered as a critical mechanism for loss of self-tolerance.
445 Epigenetic modification in both promoters of *CDKN2A* and the role of oxidative stress from
446 inflammation and vascular damage further add complexity to the already enigmatic pathogenesis
447 of LS. Nevertheless, these markers serve as potential therapeutic targets that warrant further
448 study and analysis in order to uncover the true onset and course of disease progression.

449 Finally, in an attempt to shed light on this disease described over a century ago,
450 consensus must be reached on the histological diagnostic criteria for various LS subtypes and
451 stages, with particular clarification on nomenclature and usage. Doing so will encourage more
452 epidemiological studies to investigate aspects of LS across all ages and sex groups to better
453 understand how the course of disease unfolds across different demographics. Such concerns,
454 interestingly, are also listed among “top 10” priorities for future LS research as determined by a
455 Priority Setting Partnership (from June 2017-July 2018) utilizing the James Alliance (JLA)
456 methodology [11]. The top concern, above diagnostic criteria, was prevention and management
457 options. With the long-term goal of finding a curative treatment, however, the numerous
458 evidence gaps in the etiology of LS must first be filled through the lens of immunology and
459 genetics in order to effectively solve this autoimmunopathogenic and genomic enigma.

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720 **Competing Interests**

721 No potential conflict of interest was disclosed.

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726 **Tables**

727

728 **Table 1. Prevalence of LS in different age and sex groups.**

729 LS presents in a bimodal fashion, typically before puberty and during the middle-ages of life,
730 with a higher incidence in menopausal women overall (3%) and among pre-pubertal boys when
731 compared to their female counterparts (0.5%).

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733 **Table 2. Expression levels of potential genetic/immune targets in LS.**

734 The overall genetic and molecular landscape of LS is complex. Expression profiles are largely
735 immune related, with miR-155 implicated as an important upstream regulator of sclerotic tissue
736 formation (via fibroblast proliferation and heightened collagen synthesis) and activation of a T_H1
737 autoimmune response (by reduced Treg suppression activity). Downstream targets involved in
738 sclerotic tissue formation include *FOXO3*, *CDKN1B*, collagen (type I, III, V), endothelial ECM1,
739 galectin-7, and p53. Targets promoting autoimmunity include ECM1 autoantibodies, IL-10,
740 TNF, and IL-6. Targets are listed in the order discussed.

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766 **Table 1. Prevalence of LS in different age and sex groups.**

	<u>767</u>	
	Child	Adult
Female	0.11%	3% ⁷⁶⁹
Male	0.5%	>0.07% ⁷⁷⁰
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811 **Table 2. Expression levels of potential genetic and/or immune targets in LS.**

Genetic/Immune Target	Expression in LS	Effects and/or Significance in LS	References
ECM1 autoantibodies	Increased	<ul style="list-style-type: none"> Disrupt scaffolding structure that holds extracellular matrix components together via promiscuous binding to multiple partners Interfere with regulatory binding of ECM1 to MMP9 to suppress, otherwise, collagenase overactivity Interfere with functional binding of ECM1 to perlecan and collagen IV 	<ul style="list-style-type: none"> Oyama et al. 2003 Oyama et al. 2015 Edmonds et al. 2011 Fujimoto et al. 2006 Sercu et al. 2006
TNF- α	Increased	<ul style="list-style-type: none"> Upstream mediator of Treg cell function 	<ul style="list-style-type: none"> Valencia et al. 2007 Terlou et al. 2012 Szabo et al. 2003 Gambichler et al. 2013 Farrell et al. 2006
IL-6	Increased	<ul style="list-style-type: none"> Upstream mediator of Treg cell function 	<ul style="list-style-type: none"> Romero et al. 1992 Gambichler et al. 2013 Farrell et al. 2006
TGF- β	Equal	<ul style="list-style-type: none"> Originally seen as downstream indicator of Treg cell function 	<ul style="list-style-type: none"> Gambichler et al. 2013 Fujimoto et al. 2006
miR-155	Increased	<ul style="list-style-type: none"> Promote fibroblast proliferation Reduce Treg cell suppressive function 	<ul style="list-style-type: none"> Terlou et al. 2012 Gambichler et al. 2013 Ren et al. 2018
IL-10	Decreased	<ul style="list-style-type: none"> Downstream indicator of Treg cell function Downregulated by overexpressed miR-155 	<ul style="list-style-type: none"> Gambichler et al. 2013 Corthay 2009 Sakaguchi
<i>FOXO3</i>	Decreased	<ul style="list-style-type: none"> Promote fibroblast proliferation and increased collagen synthesis Downregulated by miR-155 	<ul style="list-style-type: none"> Ren et al. 2018
<i>CDKN1B</i>	Decreased	<ul style="list-style-type: none"> Promote fibroblast proliferation and increased collagen synthesis Downregulated by miR-155 	<ul style="list-style-type: none"> Ren et al. 2018
<i>Galectin-7</i>	Increased	<ul style="list-style-type: none"> Decrease fibroblast proliferation and increase collagen synthesis Possible mediator of T cell response 	<ul style="list-style-type: none"> Zhao et al. 2018 Luo et al. 2018
<i>Collagen I, III, V</i>	Increased	<ul style="list-style-type: none"> Promote deposition and development of sclerotic and hyalinized dermal tissue 	<ul style="list-style-type: none"> Godoy et al. 2015 Ren et al. 2018 Oikarinen et al. 1991 Bharat et al. 2006 Martin et al. 2012
Elastic fibers	Decreased	<ul style="list-style-type: none"> Triggers synthesis of collagen V and low endothelial ECM1 	<ul style="list-style-type: none"> Godoy et al. 2015
Endothelial ECM1	Decreased	<ul style="list-style-type: none"> Hyalinized dermal vessels 	<ul style="list-style-type: none"> Godoy et al. 2015
P53	Increased	<ul style="list-style-type: none"> Response to ischemic stress from hyalinized dermal vessels Somatic mutation as a later event involved in LS progression towards SCC 	<ul style="list-style-type: none"> Soufir et al. 2006 Liegel et al. 2006 Gambichler et al. 2011 Vanin et al. 2002 Sander et al. 2004 Trietsch et al. 2014 Tapp et al. 2007 Soufir et al. 2007 Marin et al. 2000
<i>CDKN2A</i>	Hypermethylated	<ul style="list-style-type: none"> Early event that may propagate LS progression towards SCC 	<ul style="list-style-type: none"> Sourir et al. 2006 Gambichler et al. 2013 Lerma et al. 2002

812 **Figure legend.**

813 **Figure 1. Proposed components of LS pathogenesis.**

814 Emerging immune and genetic targets implicated in mediation of LS can be divided
815 based on their functional involvement into three proposed components of LS pathogenesis: (1)
816 loss of self-tolerance to induce autoimmune mechanisms, (2) disruption of fibroblast and
817 collagen homeostasis, and (3) induce oxidative stress.

818

819 **Figure 2. ECM1 acts as a scaffold for multiple extracellular components.**

820 ECM1 serves as the “biological glue” with multiple binding partners in the basement
821 membrane at the dermal-epithelial junction. Heterogeneous ECM1 autoantibodies block the
822 functional interaction of ECM1 to perlecan [61, 64], collagen IV [61], and MMP9 [60]. Such
823 disruption in functional binding induces histological changes observed in LS. In particular,
824 disruption of ECM1-MMP9 interaction leads to overactive collagenase activity of MMP9,
825 resulting in focal basement membrane disruption. *Exclamation point symbol indicates region of*
826 *disrupted functional binding of extracellular component to ECM1. BM = basement membrane.*
827 *Modified from Sercu et al.[61].*

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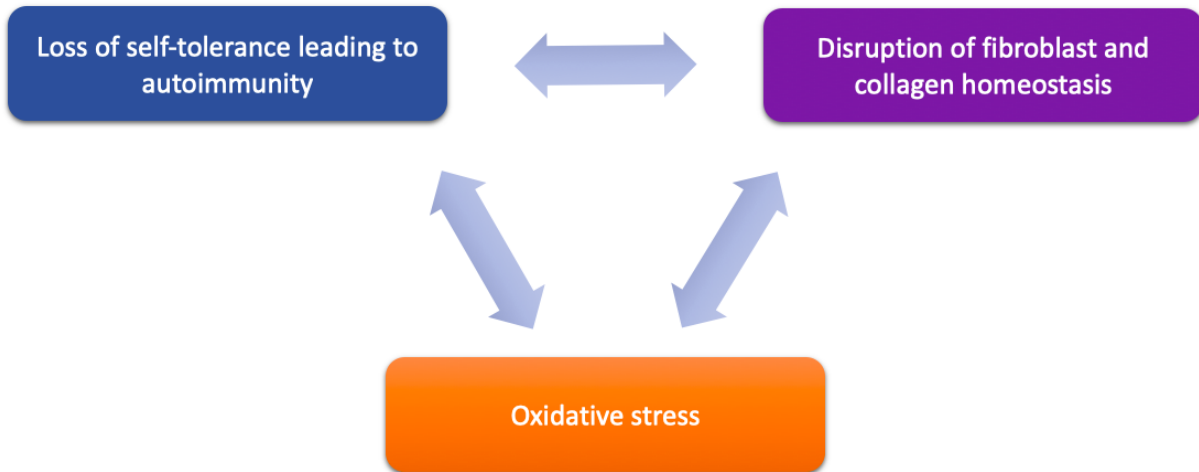
829 **Figure 3. Pathological schema of LS with possible immune and genetic targets.**

830 Although the pathogenesis of LS is unknown, several mechanisms have been implicated
831 involving immune and genetic targets. Each target can be viewed as promoting either fibroblast
832 activity and/or mediation of disease through an autoimmunogenic route. Vascular changes,
833 oxidative stress, inflammation, and loss of elastic fibers are downstream events that further
834 complicate the etiology. miR-155 plays a dual role in inhibiting *FOXO3* and *CDKN1B* to
835 promote fibroblast growth and collagen synthesis as well as inhibiting the suppressive function
836 of Treg T cells on CD4⁺ cells, triggering loss of self-tolerance and promotion of inflammation
837 through a T_h1 cytokine profile. There is also speculation regarding loss of Treg T cell
838 suppression and onset of ECM1 autoantibodies. Inflammation releases reactive oxygen species
839 (ROS) that, in turn, is predicted to allow autoantigen fragmentation to occur within the local
840 region, thereby providing new autoepitopes for humoral autoimmunity. Galectin-7 expressed in
841 the epidermis inhibits fibroblast growth, but, with the loss of elastic fibers, promotes collagen
842 synthesis. In addition, galectin-7 may have an effect on promoting the ratio of T_h1 cells as

843 demonstrated in cardiac allografts. The cumulative effect of downregulated endothelial ECM1
844 and collagen deposition leads to the sclerotic scarring and constriction of dermal vessels with
845 further oxidative stress. DNA damage ultimately triggers p53-induced apoptosis with the
846 potential for carcinogenesis. A mechanism for advancement of LS lesions to carcinogenesis is
847 presented at the epigenetic level. Normally, no p53 or CDKN2a mutations are found in normal
848 LS. However, inactivation of these two tumor-suppressor genes downstream via p53 somatic
849 mutation and *CDKN2A* hypermethylation eliminates normal growth limiting processes (indicated
850 by gray box), which then leads to the promotion of cell proliferation and malignancy. * = *LS to*
851 *squamous cell carcinoma (SCC) progression, apparent in only 4% of all LS lesions. Dashed*
852 *arrow demonstrates galectin-7 promoting T_h1 cells as observed in cardiac allografts.*

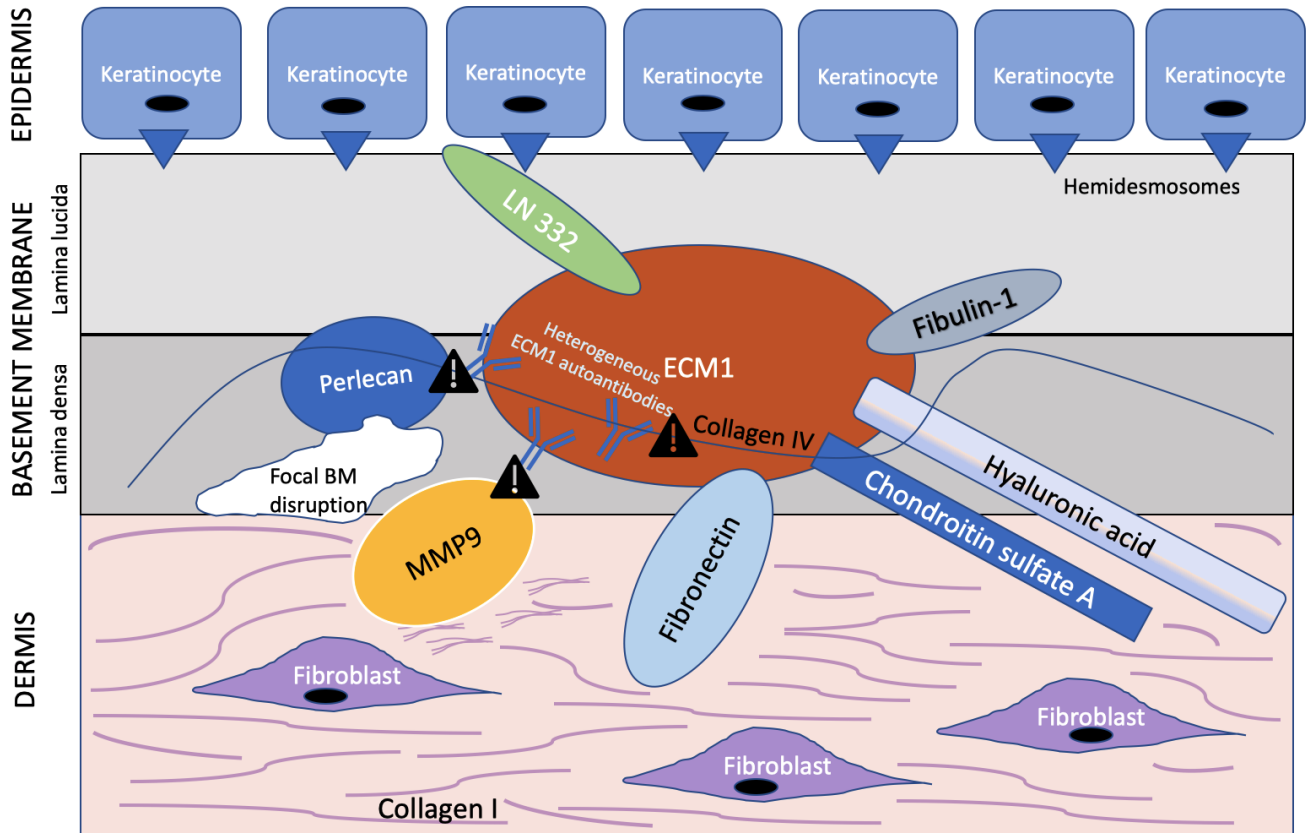
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884 **Figure 1. Proposed components involved in LS pathogenesis.**



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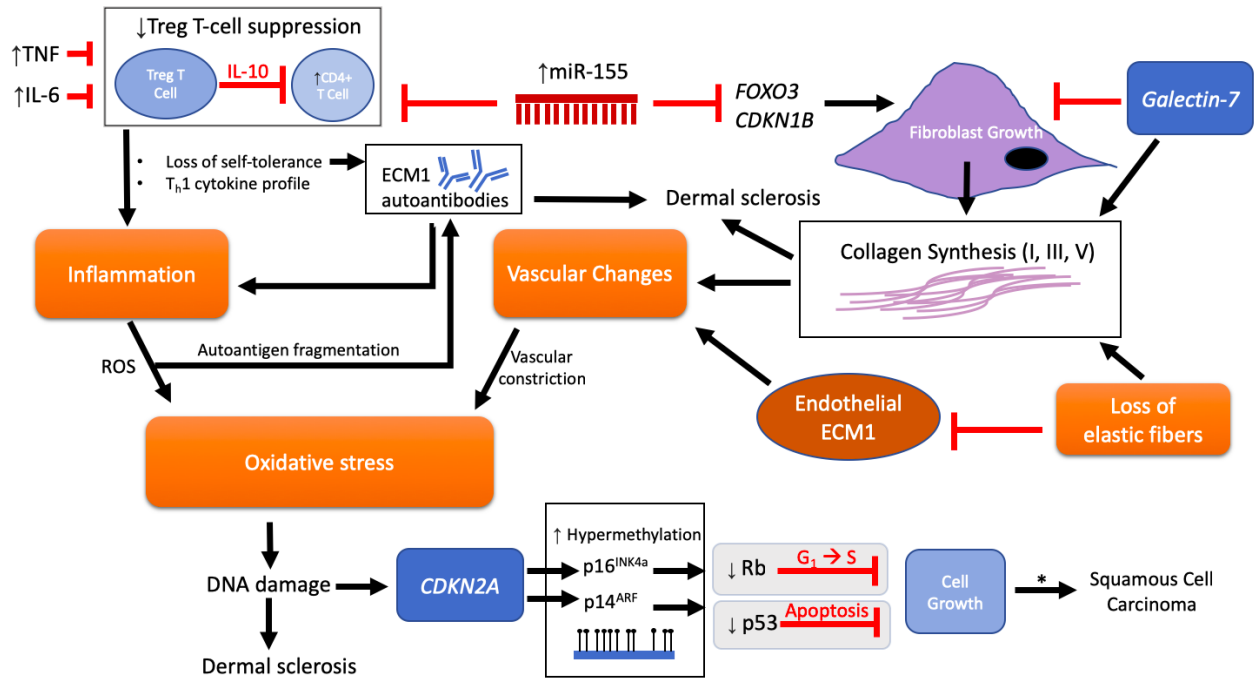
915 **Figure 2. ECM1 acts as a scaffold for multiple extracellular components.**



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Figure 3. Pathological schema of LS with possible immune and genetic targets.



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