

## Review

# Prospect for Application of PARP Inhibitor in Patients with HER2 Negative Breast Cancer

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## Abstract

Human epidermal growth factor receptor (HER2) negative metastatic breast cancer (BC) accounts for 73% of BC. The molecular analysis of this disease is essential for potential options for targeted therapy. Several promising clinical strategies are being evaluated which includes endocrine therapy, modified chemotherapy, angiogenesis inhibitors, immune checkpoint inhibitors, and anti-androgens. New therapeutic approaches are being developed that target BC patients with germline mutations in either *BRCA1*, *BRCA2* as well as *BRCAness*, a condition in which tumors have molecular similarity to *BRCA*-mutated tumors. Poly (ADP-ribose) polymerase inhibitors (PARPi) which are effective therapy in germline *BRCA1* and *BRCA2* mutations, are also observed to be effective in somatic mutations. Germline mutations in the homologous recombination pathway genes could also contribute to PARPi sensitivity. PARPi act as chemo- and radio-sensitizers by limiting the DNA-damage response and potentiating the activity of chemo- and radio-therapy when used alone or in combination with chemotherapy. Apart from PARPi as monotherapy, additional researches are ongoing in combination with cytotoxic chemotherapeutics and targeted agents in HER2 negative BC. This review aims at the most recent developments in the targeted therapy, summarizes the recent clinical trials outcomes, along with the overview of ongoing clinical trials in HER2 negative patients with *BRCA1/2* mutations and sporadic tumors with *BRCAness*.

Key words: Her2 negative breast cancer, PARP inhibitors, *BRCA*, *BRCAness*, targeted therapy

## Introduction

Breast cancer (BC) is a leading cause of cancer mortality in women accounting for approximately 500,000 deaths worldwide [1]. The estrogen receptor (ER), progesterone receptor (PR) and human epidermal growth factor receptor 2 (HER2) status play a vital role in determining prognosis and planning treatment strategies in BC patients [2,3]. As per the National Cancer Institute's Surveillance, Epidemiology and End Results (SEER) 17 registries database that covers 28% of the US population, ER+/PR+/HER2- BC accounted for 73%, ER+/PR+/HER2+ for 10% ER-/PR-/HER+ for 5% and ER-/PR-/HER2- for 12% [4].

Poly(ADP-ribose) polymerase (PARP) is a family

of enzymes cause PARylation (addition of poly-ADP-ribose) of target proteins involved in diverse cellular processes including DNA-repair, chromatin modification, transcription regulation, control of cell division, Wnt signaling and maintenance of telomeres [5-7]. PARP1 has a well-established role in the repair of damaged DNA by base excision repair (BER) process [8,9]. Cells with germline *BRCA1/2* (g*BRCA1/2*) mutation have deficient homologous recombination (HR) and rely on complementary DNA-repair process, where inhibition of PARP induces synthetic lethality and leads to cell death [10,11]. Poly(ADP-ribose) polymerase inhibitors (PARPi) not only selectively targets cells with g*BRCA1/2* mutations

used as monotherapy [12–14] but also shows potential benefit when used in combination with other therapeutic agents including chemotherapy, radio-therapy and targeted agents [15].

This review provides an overview of the homologous recombination defects (HRD) in HER2 negative BC, the role of PARP and PARPi in HER2 negative BC and the use of PARPi in management of HER2 negative BC as monotherapy or in combination with targeted agents in this subset of BC patients.

## HRD in BC with BRCA mutation (BRCAm) or BRCAness

*BRCA1* and *BRCA2* are the tumor-suppressor genes involved in maintaining DNA integrity and genomic integrity through a DNA-repair process called HR [16,17]. Germline mutations in *BRCA1* and *BRCA2* are associated with a 50%-85% lifetime risk of BC [18]. BRCAness is defined as the phenotype in which HRD exists in a tumor in the absence of a gBRCA1/2 mutation [19]. In addition, somatic mutations in *BRCA1* and *BRCA2*, epigenetic silencing of *BRCA1* by promoter methylation and gene deletion results in sporadic tumors which despite their normal gBRCA genes exhibit BRCAness, a phenotype with molecular and histopathological characteristics similar to BRCA-deficient disease [20].

## HRD and related gene mutation in BC

*BRCA1* and *BRCA2* are the key components of HR pathway [16,21,22]. *BRCA1* has a board role in the promotion and regulation of HR and colocalises with *RAD51* at sites of DNA-damage. It also regulates HR in part through the modulatory role in the *PALB2*-dependent loading of *BRCA2*-*RAD51* repair machinery at DNA strand breaks [23]. Germline mutations in other genes involved in HR-mediated DNA-repair predispose individuals to breast or ovarian cancers. These include pathogenic mutation of *ATM/ATR*, amplification of pathogenic mutation of *EMSY*, promoter methylation of *RAD51C*, mutation of *PTEN* and mutation of fanconi's anemia genes [22,24]. In addition to these germline mutations in HR-associated genes, somatic mutations in HR genes, epigenetic silencing, copy number alterations and structural rearrangements also results in HR deficiency [25]. The *PIK3CA* and *TP53* mutations are predominant in triple negative BC (TNBC) with mutation frequency of 10-20% and 60-80% respectively [26,27]. *TP53* mutations are more common in the basal-like (62-80%); while, *PIK3CA* mutations are more frequent in Luminal-type (46.2%) than the other subtypes [28]. The genomic alterations and/or epigenetic silencing in other HR pathway genes including *ATR*, *ATM*, *RAD51/54*, *CHK1/2*, *NBS1*,

*PTEN*, *BARD1*, *RAD51C*, *RAD51D*, *BRIP1*, *PALB2* and *HORMAD1* protein over-expression also confer BRCAness and render cells sensitive to DNA-damaging agents [29,30]. In *BRCA1/2* proficient tumors, the over-expression of *ID4* or *HORMAD 1* leads to genomic instability and BRCAness [19,28]. The mutations in HR and the tumors resulting from these mutations are shown in Table 1.

**Table 1.** Germline and somatic genes mutations involved in HR and related tumors [19]

Gene	Tumor types with somatic alterations (mutation, deletion or promoter hypermethylation)	Tumor types or syndromes with germline mutation
<i>BRCA 1</i>	TNBC, HGSOC, lung cancer, prostate cancer, mCRPC, and PDAC.	TNBC, HGSOC and PDAC
<i>BRCA 2</i>	BC, HGSOC, PDAC, prostate cancer, mCRPC, gastric, bladder and lung cancer, DLBCL and sarcoma	BC, HGSOC, PDAC and leukemia
<i>ATM</i>	T-PLL, BC, GBM, ccRCC, lung adenocarcinoma, sarcoma, and prostate, gastric, bladder, colorectal, uterine and pancreatic cancer	Leukemia, lymphoma, medulloblastoma, glioma and ataxia telangiectasia
<i>ATR</i>	Breast, colorectal, head and neck, gastric and uterine cancer	Oropharyngeal cancer and familial cutaneous telangiectasia and cancer syndrome
<i>CHEK 2 (CHK2)</i>	Uterine cancer and HGSOC	BC
<i>PALB2</i>	Gastric cancer and HGSOC	Wilms tumor, medulloblastoma, AML, Fanconi anemia, BC, PDAC and HGSOC

AML, acute myeloid leukemia; *ATM*, ataxia telangiectasia mutated; *ATR*, ataxia telangiectasia; DLBCL, diffuse large B cell lymphoma; GBM, glioblastoma; ccRCC, clear cell renal cell carcinoma; HGSOC, high grade serous-ovarian cancer, mCRPC, metastatic castration-resistant prostate cancer; PDAC, pancreatic ductal adenocarcinoma, TNBC, triple negative breast cancer; T-PLL, T-cell prolymphocytic leukemia; *WRN*, Werner syndrome, RecQ helicase-like.

## The role of PARP and PARP inhibitors

### DNA-repair-PARP1-Synthetic lethality

Single stranded breaks (SSBs) are repaired by nucleotide excision repair (NER), BER, mismatch repair and translational synthesis. However, double stranded breaks (DSBs) are repaired either by HR or non-homologous end joining (NHEJ). PARP, a nuclear protein has diverse role in DNA-repair, chromatin modification, transcription regulation, control of cell division, cell death and metabolism [31]. There are around 17 members in PARP family and PARP1 accounts for the majority of these and plays an important role in SSBs [32] PARP1 controls the repair of SSBs in DNA through BER pathway [33]. PARP2 works along with PARP1 in DNA base excision repair [34]. Both of these act as DNA-damage sensors and are involved in the process of repair [35].

PARPi specifically prevent PARylation by competing with nicotinamide adenine dinucleotide (NAD<sup>+</sup>) site of PARP enzymes [36,37]. This causes

PARP-trapping and eventually leads to disrupted DNA-repair, replication, transcription and DSBs [34,38,39]. PARPi cause PARP-trapping where PARP enzyme does not disengage from the damaged DNA sites which not only leads to unrepaired SSBs but also to generation and persistence of secondary DSBs. The trapped PARP-DNA complexes also make chromatin inaccessible to other DNA repairing enzymes, further promoting sustained DNA-damage [40]. Widely used PARPi are olaparib, rucaparib, niraparib, veliparib and talazoparib. It is observed that PARP-trapping potency varies widely among the inhibitors with niraparib having highest potency followed by olaparib and veliparib [34]. Earlier trials were designed to study the role of PARPi in BC patients with BRCA1/2 mutations. It is observed that cells with mutated or deficient BRCA1 and BRCA2 are unable to utilize the HR pathway of DNA-repair and they rely on complementary pathways such as BER. PARPi effectively eliminate cells capacity to repair SSBs through BER forcing the cells to die from accumulated unrepaired DNA breaks, representing the concept of synthetic lethality [41]. Synthetic lethality is defined as the phenomenon in which the combination of two non-lethal defects leads to cell death [42,43]. This effectiveness of PARPi was observed in clinical trials that showed significant improvement in terms of efficacy in BC/ovarian cancer patients harboring BRCA1/2 mutations [44]. PARPi disrupts several processes that involve PARPs including identification of damage and repair of DNA, S-phase events including replication, HRR and NHEJ [34,35,45-47]. PARPi cause accumulation of DSBs at the site of DNA-damage by interfering with replication fork and causing genomic instability [48,49]. PARPi when used in combination with other drugs acts mainly by two mechanisms namely PARP-trapping or catalytic inhibition of PARP enzymes. PARPi when used with chemotherapy enhances the treatment response due to the synergic effect of the treatments as both work by exploiting the DNA-damage/repair mechanism. For example, temozolomide in combination with PARPi acts by enhancing PARP-trapping [50,51]. Similarly, combination of PARPi with gemcitabine synergically acts by preventing cell proliferation and enhancing apoptosis [52,53]. Ionizing radiation cause DNA-damage that necessitates the recruitment of PARP1 to the damaged site and by combining PARPi to ionizing radiation, radiosensitization is induced thus promoting tumor inhibition [54,55]. PARPi in combination with EGFRi can elicit apoptosis by causing HR deficient state as evoked by some EGFR mutations [56]. Similarly, IGF-1Ri cause PARPi sensitivity by creating BRCAness [57]. PI3Ki in combination with PARPi act

by decreasing proliferation and enhancing tumor cell death by inhibiting 53BP1, a DNA-repair protein, localization to the DNA-damage site [58,59]. Checkpoint kinase inhibitors when used with PARPi seem to cause cell death by enhancing DNA-damage [60]. Understanding the mechanisms by which PARPi work has opened a plethora for research in using PARPi as monotherapy and combination therapy in managing BC patients.

## **PARPi in the management of BRCAm cancer**

### **Monotherapy in BRCAm patients**

Approximately 70% of BRCA-mutated BCs are triple negative and seem to be sensitive to DNA-damaging agents such as cisplatin, carboplatin and PARPi [61,62]. PARPi are used either as monotherapy or combination with chemotherapy where they limit the DNA-damage response and potentiate the activity of chemo- and radio-therapy thus acting as chemo-and radio-sensitizers [63].

Olaparib is the first PARPi approved by the FDA for use in gBRCA mutated, HER2 negative metastatic BC who received prior chemotherapy [64]. This approval was based on the results of the phase-III OlympiAD trial, in which 302 patients with gBRCA mutation and HER2-negative BC were randomized to receive olaparib (300 mg twice daily) or physician choice chemotherapy (capecitabine, eribulin or vinorelbine in 21-day cycles). The study demonstrated that the median progress-free survival (PFS) was significantly longer in the olaparib group compared to chemotherapy group (HR: 0.58 (95% CI: 0.43-0.80);  $P < 0.001$ ; median 7.0 vs 4.2 months). Moreover, the response rate was higher in the olaparib group than the standard therapy group (59.9% vs 28.8%) and relatively less proportion of patients experienced grade 3 or higher adverse events (AEs) (36.6% vs 50.5%) [65].

In the phase-II, proof of concept trial women with BRCA 1/2 mutations and advanced BC were assigned to two cohorts and treated with olaparib 400 mg bid (cohort 1) or 100 mg bid (cohort 2) respectively. The study showed that patients with high-dose olaparib had better objective response rate (ORR) (41% vs 22%) and improved median PFS (5.7 months vs. 3.8 months) compared to low-dose. These results provide proof of concept of PARPi in patients with BC that have genetic loss of function of BRCA1/2-associated DNA-repair [66]. Kaufman et al, in a phase-II study evaluated the efficacy and safety of olaparib in a spectrum of BRCA1/2 associated cancers and reported an ORR of 31.1%, 13%, 21.7%, and 50.0% in ovarian, breast, pancreatic and prostate cancers, respectively [67]. An ongoing OlympiA trial (NCT02032823) is designed to evaluate the efficacy of olaparib

as an adjuvant treatment in patients with BRCA-mutated HER2 negative BC. The primary end point is invasive disease free survival and secondary endpoint is OS and safety [68].

Another PARPi niraparib has shown to significantly improve the PFS regardless of the presence or absence of gBRCA mutations or HRD status. In a randomized, double blind, placebo-controlled trial, phase-III trial (ENGOT-OV16/NOVA) niraparib has shown the longer median PFS than placebo in the gBRCA ovarian cancer cohort (HR: 0.27; (95%CI, 0.17 to 0.41);  $P < 0.001$ ; median 21.0 vs 5.5 months) and non-gBRCA cohort (HR: 0.45, 95% CI, 0.34 to 0.61;  $P < 0.001$ ; median 9.3 vs 3.9 months). Moreover, niraparib also increased PFS in the non-gBRCA cohort for patients with HRD tumor (HR: 0.38; 95%CI, 0.24 to 0.59;  $P < 0.001$ , median 12.9 vs. 3.8 months). Thrombocytopenia, anemia and neutropenia are the common grade 3 or 4 AEs reported in the niraparib group. These results elucidated that niraparib is not only effective in patients with gBRCA1/2, but also shows benefit in HRD positive tumors with wild-type BRCA as well as those with somatic mutations [69]. Based on these promising results in BRCA-like ovarian cancer, the ongoing ABC study is designed to investigate the efficacy of niraparib in patients with advanced locally recurrent BRCA-like, HER2-negative BC (NCT02826512) [70], and also a phase I trial to evaluate the efficacy and safety of niraparib in neoadjuvant settings in HER2 negative and BRCA-mutated localized BC (NCT03329937) [71]. A phase-III, randomized, open-label, multicenter study designed to compare the efficacy of niraparib versus chemotherapy (eribulin, vinorelbine, capecitabine or gemcitabine) in previously treated HER2 negative BRCA mutation positive BC patients is ongoing (NCT01905592). The primary objective is PFS and the secondary objective is OS [72,73]. These trials will provide data on the use of niraparib in HER-2 negative BC patients.

The potential usefulness of PARPi in the treatment setting beyond BRCA-mutant tumors is well reported in the ARIEL 2 study. ARIEL 2 is a phase-II, open-label, multicenter study in which 192 patients with recurrent, platinum-sensitive, high-grade ovarian carcinoma were classified into three HRD subgroups namely BRCA-mutant ( $n=40$ ), loss of heterozygosity (LOH) high ( $n=82$ ) or LOH low ( $n=70$ ). Patients were treated with rucaparib 600 mg *bid* until disease progression. The study reported that the median PFS was significantly longer in the BRCA-mutant (HR, 0.27; 95% CI, 0.16-0.44;  $P < 0.0001$ ) and LOH high subgroups (HR, 0.62; 95%CI, 0.42-0.90;  $P=0.011$ ) compared with LOH low subgroup. The findings showed that tumor-based assays combining

BRCA mutation status and percentage of genomic-wide LOH could identify patients with platinum-sensitive carcinomas without gBRCA mutation who are likely to respond to rucaparib treatment. Moreover, it also demonstrated that the mutation and methylation status of HR-related genes such as RAD51C is associated with high genomic LOH in BRCA wild-type tumors and with rucaparib response [74]. Other than ovarian cancer, rucaparib has also shown activity in a phase I study of patients with HRD BC [75]. Based on this phase-II results, a single arm, open-label, multicenter phase-II study is currently evaluating the efficacy of rucaparib in patients with HER-negative metastatic BC with BRCAness phenotype defined by high tumor genomic LOH or somatic BRCA1/2 mutation without known gBRCA1/2 mutation (RUBY, NCT02505048) [76].

A recent study on characterization of BC patient-derived xenografts generated from residual tumor surgical samples representing the heterogeneous genomic alterations of TNBC revealed variation in sensitivity towards chemotherapy with no tumor regression, however, dramatic regression was observed with PARPi talazoparib. It is noteworthy that PARPi alone or in combination with chemotherapeutic agents also demonstrated activity in wild-type BRCA1/2 tumors suggesting the role of combination therapy in enhancing anti-tumor efficacy [77]. A ongoing phase-II trial (NCT02401347) is designed to assess the efficacy of talazoparib in TNBC with HRD and HER2 negative BC with HRD. This trial will evaluate its outcomes in terms of the ORR, CBR, PFS and safety [78]. EMBRACA (NCT01945775), an open-label, phase-III trial compared efficacy and safety of talazoparib with chemotherapy (capecitabine, eribulin, gemcitabine, or vinorelbine) in advanced or metastatic HER-2 negative BC with BRCA1 or 2 mutation. Among the 431 patients randomized to talazoparib ( $n=287$ ) and chemotherapy ( $n=144$ ), significant prolonged PFS was observed in talazoparib group [8.6 months (7.2-9.3)] compared to chemotherapy [5.6 months (4.2-6.7)], HR=0.542 ( $<0.0001$ ). The ORR was statistically significant, 62.6% vs 27.2%, respectively (OR=4.99,  $P < .0001$ ) with median duration of response being 5.4 vs 3.1 months in talazoparib and chemotherapy, respectively. Additionally the talazoparib was well tolerated with less non-hematological toxicity [79]. Based on the results of EMBRACA trial FDA and EMA has accepted review of regulatory submission of talazoparib for treatment of patients with gBRCA mutated, HER2-negative BC [80].

A meta-analysis comparing PARPi monotherapy with mono-chemotherapy revealed PARPi monotherapy significantly improved PFS and ORR, HR=0.56

(95% CI 0.45 to 0.70) and OR=4.15 (95% CI 2.82 to 6.10), respectively, however there was no difference in OS. PARPi monotherapy was also well tolerated and useful in delaying time to QoL deterioration HR=0.40 (95% CI 0.29 to 0.54), suggesting PARPi monotherapy to be effective in patients with BRCAm HER2-negative BC [81].

## Combination strategies and trials of PARPi

### 1. Combining PARPi with cytotoxic chemotherapy and radio-therapy

Though PARPi are approved as monotherapy for selected patient population, they are also tested in combination with other cytotoxic and targeted agents. Kummur tested the chemo-potentiating effect of veliparib and low-dose cyclophosphamide in patients with refractory solid tumors and found that the combination is well tolerated with promising activity in patients with BRCA mutations. Of the 35 patients enrolled in this study, 7 patients had partial response and 6 additional patients had disease stabilization for at least six cycles [82]. Based on the encouraging results, the activity of metronomic cyclophosphamide alone or in combination with veliparib is being tested in a multicenter, randomized, phase-II study in patients with peritoneal cancer, fallopian tube cancer, high grade serous-ovarian cancer (HGSOC) and BRCA-mutant ovarian cancer patients that have not responded to standard therapies (NCT01306032) [83]. A phase I trial of veliparib with cyclophosphamide has demonstrated anti-tumor activity in BRCA-mutated HER2 negative BC [84]. The addition of veliparib-carboplatin to the standard paclitaxel followed by doxorubicin-cyclophosphamide regimen has also shown higher rates of pathological complete response than the standard therapy alone (51% vs 26%) in TNBC patients. However, patients in the veliparib-carboplatin group reported a high incidence of toxicity than the control group [85]. Phase I/II trial was designed to assess the efficacy of veliparib monotherapy and post progression combination therapy of veliparib with carboplatin in BRCA1/2 mutated metastatic BC. The PFS and OS was 8.7 and 18.8 months, respectively. Moreover veliparib monotherapy or combination therapy was safe in this strategy [86]. Another phase-II trial has investigated whether the addition of veliparib to temozolomide or carboplatin/paclitaxel provides clinical benefit over carboplatin/paclitaxel with placebo in patients with gBRCA1/2 mutated locally recurrent or metastatic BC (NCT01506609) [87]. There was increase in PFS (14.1 and 12.3 months, HR=0.789; 95% CI 0.536-1.162; P=0.227) and OS (28.3 and 25.9 months, HR=0.750; 95% CI 0.503-1.117; P=0.156) in patients receiving veliparib with carboplatin/paclitaxel compared to

placebo plus carboplatin/paclitaxel, though the increase was statistically insignificant. However, addition of veliparib improved ORR significantly, 77.8% and 61.3% (P = 0.027) [88].

Lee et al. studied the safety and clinical activity of olaparib in combination with carboplatin in a phase 1/1b trial in patients with ovarian/BC harboring BRCA1/2 mutations (N=45). The results showed that the combination therapy was safe although in the intermittent schedule the dose limiting toxicity was not reached. The adverse events of grade  $\frac{3}{4}$  were neutropenia, thrombocytopenia and anemia in 42.2%, 20.0% and 15.6% respectively. The preliminary clinical efficacy revealed response rates of 44.1% and 87.5% in ovarian and BC patients suggestive of the additive effect of the treatment regimens [89]. Another phase 1 study evaluating the safety and efficacy of paclitaxel in combination with olaparib in metastatic TNBC. It was observed that though the combination was efficacious in terms of response rates (37%), the neutropenia rates were 58% which were much higher than expected. This study was terminated in phase I and could not proceed to phase-II as an optimum dose could not be identified that could be of use in phase-II [63]. REVIVAL, a phase-II ongoing study is designed to study the efficacy of carboplatin-olaparib followed by olaparib monotherapy versus capecitabine in BRCA1/2 mutated HER2-negative BC [90].

In addition to their role as chemo-sensitizers, PARPi also potentiate the anti-tumor activity of radiation therapy. The addition of veliparib (10-300 mg, orally BID) to whole brain radiation therapy in patients with brain metastasis from primary solid tumors improved the median survival time for NSCLC and BC subgroups with no increase in toxicities [91]. A phase I TBCRC 024 trial assessed the concurrent veliparib with chest wall and nodal radio-therapy in BC patients, revealed the importance of continuous monitoring for radio-therapy related toxicity [92]. The list of ongoing studies where PARP inhibitors are tested in combination with chemo- and radiation therapy is presented in Table 2.

### 2. PARPi in combination with targeted agents

PARPi are also tested in combination with EGFR (epidermal growth factor receptor) inhibitors, insulin like growth factor 1 receptor (IGF-1R) inhibitors, vascular endothelial growth factor (VEGFR) inhibitors, histone deacetylases (HDAC) inhibitors, phosphatidylinositol-3-kinase-protein kinase B-mammalian target of rapamycin (PI3K-AKT-mTOR) inhibitors, heat shock protein (HSP90) inhibitors and check point protein kinase 1/2 (CHK1/2) inhibitors and immune checkpoint inhibitors (CTLA4 and PD-1/PD-L1/L2 antagonists) [93].

**Table 2.** Ongoing clinical trials evaluating PARPi in combination with chemo-and radio-therapy in HER2 negative BC

PARP inhibitor	Phase	Study population/tumor type	Treatment	NCT
Olaparib	II/III	TNBC and/or gBRCA BC	Olaparib + paclitaxel + carboplatin	NCT03150576
Olaparib	I	TNBC and advanced ovarian cancer	Olaparib + paclitaxel + carboplatin	NCT00516724
Olaparib	I	Advanced HER2 negative BRCA1/2 mutated BC	Olaparib+carboplatin followed by Olaparib monotherapy vs Capecitabine	NCT02418624
Olaparib	I	Inflammatory, loco-regionally advanced or metastatic TNBC or patient with operated TNBC with residual disease	Olaparib+ radiation therapy	NCT03109080
Olaparib	I	Locally Advanced Malignant Neoplasm, Inflammatory BC, TNBC	Olaparib+ radiation therapy	NCT02227082
Veliparib	III	Metastatic HER2 negative or locally advanced unresectable BRCA-associated BC	Veliparib+ carboplatin+ paclitaxel	NCT02163694
Veliparib	I	BC	Veliparib+radiation therapy	NCT01618357
Rucaparib	II	Patients with invasive TNBC or ER/PR+, HER2 negative with known BRCA1/2 mutations	Rucaparib+ cisplatin	NCT01074970

EGFR-activating mutations, including gene amplification, as well as point mutations within the kinase domain have been detected in several solid tumors including TNBC. Preclinical studies have shown that EGFR inhibition alters the DNA DSB repair capacity and the lapatinib (EGFR1/2 inhibitor) induces a transient DNA DSB repair deficit in TNBC cells and subsequently augments cytotoxicity to veliparib through activation of intrinsic apoptosis pathway. Further molecular dissection reveals a novel regulation of HR involving EGFR and BRCA1 interaction and alteration of subcellular localization, and suggests that a contextual synthetic lethality exist between EGFR inhibitor and PARPi [94].

Cells with mutated BRCA1 have impaired HR function and also show increased expression of IGF-1R [95]. Therefore, dual inhibition of IGF-1R and PARP might increase the clinical efficacy in HRD patients and increase the population of patients who would benefit from PARPi. Amin et al. showed that ovarian and BC cells with deficient HR are sensitive to IGF-1R inhibition. Moreover, in HR proficient cells, IGF-1R inhibition reduced the mRNA and protein levels of RAD51 and sensitized these cells to olaparib [96].

The inhibition of angiogenesis creates a hypoxic state which is associated with impaired HR, specifically by decreasing the BRCA1 expression. Several studies have demonstrated that the anti-angiogenesis inhibitors bevacizumab and cediranib induce a higher incidence of hypoxia, reduction in HR and subsequent sensitivity to PARPi [93]. The safety and tolerability of olaparib/bevacizumab combination was assessed in the phase I study in patients with advanced solid tumors and showed that the combination was generally well tolerated with no dose limiting toxicities (DLTs) [97]. Liu assessed the toxicities and recommended phase 2 dosing (RP2D) of the cediranib/olaparib combination in recurrent ovarian and metastatic TNBC patients and showed that the combination has promising activity in ovarian cancer patients [98]. These studies support that the

combination acts synergistically and is a viable treatment option for ovarian and BC patients.

PI3K, in addition to regulating cellular processes including growth, metabolism and survival has an additional role in stabilizing and preserving DSB repairs by interacting with HR complex. Therefore, abrogation of PI3K signaling is being proposed as an approach to debilitate HR and potentiate PARPi therapy. Juvekar demonstrated that the combination of PI3K inhibitor (NVP-BKM120) and olaparib effectively delayed tumor growth in the mouse model compared to olaparib alone suggesting that the combination of PI3K and PARPi as an effective treatment for BRCA1-related tumors. Moreover, in BRCA-proficient TNBC cells, PI3K inhibition results in HR impairment and sensitization to PARPi through ERK-dependent BRCA1/2 downregulation [99]. Mo also demonstrated that mTOR inhibitors everolimus significantly suppressed HR repair and synergized with PARPi through regulating the expression of SUV39H1 in BRCA-proficient TNBCs and xenograft models [100]. On the basis of this preclinical evidence phase I studies assessing the safety and efficacy of the combinations are currently underway (NCT01623349, NCT02208375) [101,102].

Preclinical studies demonstrated that HDAC inhibitors attenuated the levels of DNA-damage response (DDR) and HR proteins induce BRCAness and sensitize TNBC cells to PARPi or cisplatin. The HDAC inhibitor induces acetylation and inhibition of chaperone activity of HSP90 which disrupts its association with its client proteins (ATR, BRCA1, RAD52 and CHK1) leading to depletion of their expression. Moreover, HDAC inhibitor and veliparib cotreatment induced more DNA strand breaks and synergistically induced apoptosis in TNBC cells [103]. In the TNBC xenografts model the combination also significantly reduced the *in vivo* tumor growth and markedly improved survival of mice [104]. These findings support the rationale to examine the clinical utility of this combination in TNBC, irrespective of BRCA1 mutation status.

**Table 3.** Ongoing clinical trials evaluating PARPi in combination with targeted agents in HER2 negative BC

PARP inhibitor	Phase	Study population/ tumor type	Treatment	NCT
Olaparib	I/II	Recurrent ovarian, fallopian tube, peritoneal or TNBC patients with gBRCA mutation	Olaparib+ Cediranib Maleate	NCT01116648
Olaparib	II	Metastatic or unresectable solid tumors (TNBC, NSCLC, SCLC and pancreatic adenocarcinoma)	Olaparib+ Cediranib Maleate	NCT02498613
Fluzoparib	I	Recurrent ovarian or TNBC patients and subjects with deleterious BRCA mutation	Fluzoparib + Apatanib	NCT03075462
Olaparib	I	Recurrent TNBC or HGSOC	Olaparib + PI3K inhibitor (BKM 120 or BYL719)	NCT01623349
Olaparib	I/II	Recurrent endometrial, TNBC, and ovarian, primary peritoneal, or fallopian tube cancer	Olaparib + mTORC1/2 inhibitor (AZD2014) & AKT inhibitor (AZD5363)	NCT02208375
Olaparib	I	Metastatic, unresectable or recurrent solid tumors (ovarian, fallopian tube, or primary peritoneal and TNBC)	Olaparib + Onalespib (HSP90 inhibitor)	NCT02898207

### 3. PARPi in combination with immune checkpoint inhibitors

The normal function of immune checkpoint proteins is to regulating immune homeostasis, prevent excessive immune responses, including autoimmunity. Tumor cells exploit this immune regulatory pathway and it is one of the resistance mechanisms by which tumor cells evade the destruction of immune system. The characteristics of TNBC, namely the high mutational frequency which increases the chance of immunogenic mutations generating neoantigens makes TNBC a specific target for immune checkpoint inhibitors [105].

Higuchi used the immunocompetent BRCA1-deficient murine ovarian cancer model to study the activity of immune checkpoint inhibitors either alone or in combination with veliparib and demonstrated that CTLA-4 antibody synergized therapeutically with veliparib resulting in protective anti-tumor immunity with significant survival benefit [106].

Jiao investigated the cross-talk between PARPi and immune checkpoint inhibitors in the tumor microenvironment and found that the PARPi attenuated anti-tumor immunity through upregulating PD-L1 expression in BC cells and animal models, and blockade of PD-L1 using PD-L1 antibodies re-sensitized PARPi-treated cells to T-cell mediated cytotoxicity. These data provides evidence that the combination of PARPi and PD-L1 antagonists increased the therapeutic efficacy compared to either agent alone in BC [107].

Based on the promising results obtained in preclinical studies, several ongoing clinical trials are evaluating the combination of PARPi in combination with PD-L1 antibodies including NCT03167619, NCT02484404, NCT02734004, NCT02657889 and NCT02849496 [108–112]. The first study reporting the clinical use of the durvalumab plus olaparib or cediranib combination therapy showed that the combinations are tolerable and active with partial response and stable disease reported in two and eight patients respectively, yielding an 83% disease control rate. In addition, of the 12 patients who received

durvalumab and cediranib, six patients had partial response and three others had stable disease with a 75% disease control rate. The recommended phase 2 dosing was durvalumab 1500 mg every 4 weeks with olaparib 300 mg *bid*, or cediranib 20 mg, 5 days on/2 days off [113]. The ongoing trials assessing the safety and efficacy of the PARPi in combination with PD-L1 antagonists are shown in Table 4. Summary of completed trials of monotherapy and combination therapy of PARPi are represented in Table 5.

### Mechanism of resistance to PARPi

Despite the promising results of the PARPi in BC it is observed that some of the patients eventually acquire resistance to this treatment. There are various underlying mechanisms leading to resistance to PARPi. Barber et al. investigated the cause of resistance in patients receiving olaparib and treatment naive using tumor biopsies by parallel DNA sequencing and identified BRCA2 secondary mutations in olaparib treated biopsies. They conclude that due to the BRCA2 secondary mutations, the activity of the BRCA2 protein is fully restored which causes resistance to PARPi [114]. Various other mechanisms that lead to PARPi resistance include HRR restoration due to TP53 binding protein 1 (53BP1) regulation, replication fork stabilization, additionally other causes include altered cell cycle regulation, altered miRNA-622, miRNA-182 expression, altered PARP expression and drug efflux [115,116]. 53BP1 strikes a balance between NHEJ and HR in normal cells, However, in case of downregulation or mutation in 53BP1 results in PARPi resistance as it induces BRCA1-independent DNA end-resection [117]. Stabilization of the replication fork due to loss of Pax2 transactivation domain interacting (PTIP), a protein involved in HR also leads to PARPi resistance [118]. Altered MET, PI3K/AKT pathways are involved in causing resistance to PARPi [119,120]. There are certain challenges in using PARPi as combination therapy such as the dose limiting toxicities and development of resistance as most of the combination drugs also

share similar mechanism of action as that of PARPi so are limited by their similar resistance mechanisms, This necessitates further research on identifying the subset of BC patients who would obtain maximum benefit from PARPi combination therapy and also in planning treatment strategies to overcome PARPi resistance.

## Conclusion and future prospective

PARPi are highly effective approach for the treatment of cancers caused by defects in DNA-repair pathway. While the use of PARPi is currently restricted to patients with *gBRCA1/2* mutations, there are other somatic and germline abnormalities in the HR pathway genes that may contribute to sensitivity to PARPi in many cancers including HER2 negative BC. An important challenge lies in identification of patients with HRD and related gene mutations in HER2 negative and the effective treatment strategies for their management. Additional investigations including combination with cytotoxic chemotherapeutics

and/or other targeted agents (VEGFR, EGFR, PI3K/AKT/mTOR, HDAC, HSP90 and immune checkpoint inhibitors) are ongoing. Several preclinical studies demonstrated that these targeted agents have the potential to sensitize the HR proficient tumors to PARPi. The use of companion diagnostics to identify patients, finding and validating biomarkers that predict HR deficiency and response to PARPi will broaden the therapeutic horizon of these drugs in the future and also helps providing precision medicine to patients with cancer.

## Abbreviations

BC: Breast cancer; DDR: DNA-damage response; DSB: Double stranded breaks; ER: Estrogen receptor; HGSOc: High grade serous-ovarian cancer; HR: Homologous recombination; HRD: Homologous recombination defects; LOH: Loss of heterozygosity; NHEJ: Non-homologous end joining; ORR: Objective response rate; PFS: Progress-free survival; PR: Progesterone receptor.

**Table 4.** Ongoing clinical trials evaluating PARPi in combination with Immune checkpoint inhibitors in HER2 negative BC.

Clinicaltrials.gov identifier	Phase	Treatment	Tumor type	Outcome measures
NCT03167619	II	Olaparib + Durvalumab (anti-PD-L1)	Metastatic TNBC	PFS
NCT03544125	I	Olaparib + Durvalumab	Metastatic TNBC	Proportion of completion of clinical laboratory improvement amendments analytics, Safety, ORR, CBR, DOR
NCT02484404	I/II	Olaparib and/or Cediranib + Durvalumab (anti-PD-L1)	Advanced solid tumors and advanced or recurrent ovarian, TNBC, lung, prostate and colorectal cancers	Phase I: RP2D, safety Phase-II: ORR
NCT02734004	I/II	Olaparib + Durvalumab (anti-PD-L1)	Advanced or metastatic solid tumors (ovarian, breast, SCLC, gastric cancer)	DCR, safety and tolerability
NCT02657889	I/II	Niraparib + Pembrolizumab (anti-PD-1)	Advanced or metastatic TNBC or recurrent ovarian cancer	Phase I: RP2D, DLTs Phase-II: ORR
NCT02849496	II	Veliparib + Atezolizumab (anti-PD-L1)	TNBC (stage III/IV)	PFS

**Table 5.** Summary of completed trials with PARPi, mono and combination therapy

Clinicaltrials.gov identifier	Phase	Treatment	Tumor type	Outcome measures
NCT02000622	III	Olaparib vs chemotherapy (capecitabine, eribulin or vinorelbine)	HER2- BC	Median PFS: 7.0 vs 4.2 months Response rate: 59.9% vs 28.8%
NCT00494234	II	Olaparib: 400mg bid vs 100 mg bid	Advanced BC with BRCA1 or BRCA2 mutations	ORR: 41% vs 22% Median PFS: 5.7 months vs 3.8 months
NCT01078662	II	Olaparib	Ovarian, breast, pancreatic and prostate cancers	ORR: 31.1%, 13% 21.7% and 50.0%
NCT01945775	III	Talazoparib vs Chemotherapy	Advanced or HER2- BC with BRCA1 or 2 mutations	PFS: 8.6 months vs 5.6 months ORR: 62.6% vs 27.2% Median DoR: 5.4 Vs 3.2 months
NCT01042379	II	Veliparib-carboplatin vs standard therapy alone	TNBC	Pathological complete response rate: 51% vs 26%
NCT01149083	I/II	Veliparib vs veliparib with carboplatin	Metastatic BC with BRCA1/2 mutations	PFS: 8.7 vs 18.8 months
NCT01506609	II	Veliparib to temozolomide or carboplatin/paclitaxel Vs carboplatin/paclitaxel with placebo	Metastatic BC with BRCA1/2 mutations	PFS: 14.1 vs 12.3 months OS: 28.3 Vs 25.9 months ORR: 77.8% vs 61.3%
NCT02484404	I/II	Durvalumab plus olaparib or cediranib	Women's cancer	Disease control rate: 83% vs 75%



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## Authors' contributions

NS and YL carried out design, conception of the study and drafting the manuscript. YS, LY and RY participated in development of manuscript and critical revision. ZS, ZZ and YZ conducted all data acquisition, analysis and interpretation. All authors read and approved the final manuscript.

## Competing Interests

The authors have declared that no competing interest exists.

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